


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 3, 2002.*

  
\_\_\_\_\_  
Carolle Cook

Applicant : David Wachtel  
Application No. : 09/916,243  
Filed : July 25, 2001  
Title : METHOD AND APPARATUS FOR INTELLIGENT  
DATA ASSIMILATION  
Grp./Div. : 2171  
Examiner : To be assigned  
Docket No. : 42157/FLC/U367

LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
January 3, 2002

Commissioner:

In response to the Notice to File Missing Parts of Application dated **September 17, 2001**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. A Petition for Extension of Time.
3. Extension of Time fee of **\$400**.
4. Check for **\$1,830.00** for the filing fee (the original \$1,778.00 + \$52.00 for increased filing fees), a check for **\$130.00** for the surcharge for a large entity.
5. Executed Declaration and Power of Attorney.
6. 18 sheet(s) of substitute drawings in compliance with 37 C.F.R. § 1.84(g).
7. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

Application No. 09/916,243

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Frank L. Cire  
Reg. No. 42,419  
626/795-9900

FLC/clc

Enclosures

CLC PAS398245.1-\* -1/3/02 12:02 PM



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,243	07/25/2001	David Wachtel	42157/FLC/U367

CONFIRMATION NO. 6834

23363  
CHRISTIE, PARKER & HALE, LLP  
350 WEST COLORADO BOULEVARD  
SUITE 500  
PASADENA, CA 91105

## FORMALITIES LETTER



\*OC00000006564576\*

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/29/2002 S5ALEEKU 00000005 031728 09916243 FILED UNDER 37 CFR 1.53(b)

01 FC:102 84.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1068.
  - \$828 for 46 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1908.

01/22/2002 WDZLUNES 00000002 09916243

01 FC:102	430.00 DP
02 FC:102	740.00 DP
03 FC:102	668.00 DP
04 FC:102	168.00 DP
05 FC:102	136.00 DP

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

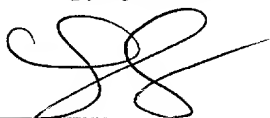
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05 FC:116	148.00 DP

Call: Ref: 01/22/2002 WDZLUNES 0016054000  
Name/Number: 09916243  
PA: 01728  
FC: 704

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, consisting of a stylized 'S' followed by a horizontal line and a small flourish.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE